REMARKS

Reexamination and reconsideration in light of the following remarks is respectfully requested.

Claims 8-15, 18 and 47-49 are pending in this application. Claims 12-15 have been allowed while claims 8-11, 18 and 47-49 stand rejected.

Claims 8, 9, 18 and 47-49 stand provisionally rejected under 35 U.S.C. § 101 as "claiming the same invention as that of claims 1, 8 and 21 of copending Application No. 09/288,556." The Examiner has characterized the rejection as being non-statutory. Therefore, the rejection is taken as a rejection on the ground of obviousness-type double patenting. In view of the fact that as of the date of the filing of this response, the undersigned is unaware that an claims 1, 8 and 21 in Application No. 09/288,556 have been examined on the merits, it is respectfully requested that the Examiner hold in abeyance the requirement for filing a Terminal Disclaimer until there is an indication that claims 1, 8 and/or 21 in the aforesaid patent application are allowable.

Claims 10, 11 and 18 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Klein et al. (U.S. Patent No. 5,807,861). This rejection is believed to be improper under 35 U.S.C. § 103(c). This application claims priority to Application No. 08/486,264, now U.S. Patent No. 6,103,730, which is a continuation-in-part of abandoned Application No. 08/217,051. The parent applications support the subject matter set forth in claims 10, 11 and 18 herein. U.S. Patent No. 5,807,861 has a parent in common with this patent application, namely, the patent is a continuation-in-part of abandoned Application No. 08/217,051. The subject matter in the parent

Application No. 09/544,984

and in U.S. Patent No. 5,807,861 qualifies as prior art under 35 U.S.C. § 102(e) because the present invention was described in, but not claimed, in a patent granted to another.

At the time the inventions were made in this application and in abandoned Application No. 08/217,051 (the parent of U.S. Patent No. 5,807,861), the subject matter developed by another person that qualifies as prior art under 35 U.S.C. § 102(e) and the claimed invention were commonly owned by Cell Therapeutics, Inc. of Seattle, or subject to an obligation to assign to Cell Therapeutics, Inc. Copies of the assignments in this application, in the parent of U.S. Patent No. 5,807,861, and in U.S. Patent No. 5,807,861, as recorded in the Office, are attached hereto as Exhibits A, B and C, respectively. In view of the common ownership, it is respectfully requested that the rejection for obviousness of claims 10, 11 and 18 over U.S. Patent No. 5,807,861 be reconsidered and withdrawn.

For the foregoing reasons, it is submitted that the rejection of claims 10 and 11 for obviousness is overcome. As for claims 8, 9 18 and 47-49, it is requested that the requirement for a terminal disclaimer be held in abeyance pending an examination on the merits of the claims in copending 09/288,556.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including

Application No. 09/544,984

extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

McDERMOTT, WILL & EMERY

Cameron K. Weiffenbach Registration No. 44,488

600 13th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 CKW:apr Facsimile: (202) 756-8087

Date: September 5, 2002

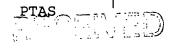


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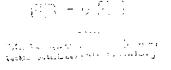
ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FEBRUARY 02, 2001

MCDERMOTT, WILL & EMERY WILLEM F. GADIANO 600 13TH STREET, N.W. WASHINGTON, D.C. 20005







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RECORDATION DATE: 11/14/2000

REEL/FRAME: 011283/0329

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KLEIN, J. PETER

DOC DATE: 11/08/2000

ASSIGNOR:

KLAUS, STEPHEN J.

DOC DATE: 10/27/2000

ASSIGNOR:

KUMAR, ANIL M.

DOC DATE: 11/07/2000

ASSIGNOR:

GONG, BAOQING

DOC DATE: 11/07/2000

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST SUITE 400 SEATTLE, WASHINGTON 98119

DEATTHE, WADRINGTON JOIL

SERIAL NUMBER: 09544984

PATENT NUMBER:

FILING DATE: 04/07/2000

ISSUE DATE:

011283/0329 PAGE 2

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12-04-2000



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Patent and Trademark Office

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1-31-92 Docket No.: 440 I original documents or copy thereto: To the Honorable Commissioner of Patents 101535629 1. Name of Conveying Party(ies): 2. Name and address of receiving party(ies): J. Peter KLEIN, Stephen J. KLAUS, Anil M. KUMAR, and Name: CELL THERAPEUTICS, INC. **Baoqing GONG** Address: 201 Elliott Avenue West Additional name(s) of conveying party(ies) attached? Yes Suite 400 3. Nature of Conveyance: Seattle, Washington 98119 Assignment ☐ Merger Security Agreement Change of Name Other Execution Date: Nov. 8, Oct. 27, Nov. 7, and Nov. 7, 2000 (respectively) Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: B. Patent No(s). A. Patent Application No(s). 09/544,984-Filed April 7, 2000 Additional numbers attached? Yes 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: Name: MCDERMOTT, WILL & EMERY 7. Total fee (37 CFR 3.41) \$40.00 Enclosed Internal Address: Authorized to be charged to deposit account 600 13th Street, N.W. 8. Deposit account number: Street Address: 500417 Washington Zip: 20005 City: State: DC DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. November 14, 2000 Willem F. Gadiano, 37,136 Date Name and Registration No. of Person Signing Total number of pages comprising cover sheet:

CMB No. 0851-0011 (exp. 4/94) 12/01/2000 MTHAI1

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ASSIGNMENT OF PATENT RIGHTS

WHEREAS, J. Peter KLEIN, a citizen of the United States of America presently residing at 18822 Ridge Rd. S.W., Vashon, Washington 98070 (U.S.A.), Stephen J. KLAUS, a citizen of the United States of America presently residing at 372 Central Park West, #11XY, New York, New York 10025 (U.S.A.), Anil M. KUMAR, a citizen of India presently residing at 13701 113th Street Court E., Puyallup, Washington 98374 (U.S.A.), and Baoqing GONG, a citizen of China presently residing at 2324 N. 178th Street, Shoreline, Washington 98133 (U.S.A.) (hereinafter all referred to as "Assignors," "we," "they," or "them"), have invented a new and useful Invention entitled, "THERAPEUTIC COMPOUNDS FOR INHIBITING INTERLEUKIN-12 SIGNALING AND METHODS FOR USING SAME," for which a United States Patent Application was filed on April 7, 2000, having Application Serial No. 09/544,984; and

WHEREAS, CELL THERAPEUTICS, INC., a corporation organized and existing under the laws of the State of Washington, having a place of business at 201 Elliott Avenue West, Suite 400, Seattle, Washington 98119 (U.S.A.) (hereinafter referred to as "Assignee"), is desirous of acquiring, for the United States of America and all countries foreign to the United States of America, the entire right, title and interest in, to and under (1) the Invention, (2) all patent applications that may be filed for the Invention and that may ultimately claim the benefit of priority from the filing date of the above-identified United States Application, and (3) any Letters Patent that may be granted for said Invention, as hereinafter more fully set forth:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, in consideration of the sum of One Dollar (\$1.00) to the Assignors in hand paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the Assignee, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the Invention, and all applications for Letters Patent that may hereafter be filed for said Invention in any country and all Letters Patent that may be granted for said Invention in any country (including, without limitation, all extensions, renewals, reissues, reexaminations and the like thereof and such other forms of protection of industrial property as may be provided by any country), and all rights of priority in any country that are based upon the filing date of the above-identified United States Application and that are created by any law, treaty or international convention; the above transferred right, title and interest to be held and enjoyed by said Assignee, its successors, legal representatives and assigns as fully and entirely as the same would have been held and enjoyed by the Assignors had this assignment not been made;

AND THE ASSIGNORS HEREBY authorize and request all appropriate and competent Officials in all countries, whose duty it is to issue or grant Letters Patents on applications as aforesaid, to issue or grant all Letters Patent for said Invention to the Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND THE ASSIGNORS HEREBY represent and covenant that they have the full right to convey the entire interest herein assigned and that they have not executed, and will not execute, any agreement in conflict herewith;

AND THE ASSIGNORS HEREBY further covenant and agree that, at the request and expense of the Assignee, its successors, legal representatives and assigns, but without demanding further consideration therefor, they will (1) assist in the prosecution of all applications for Letters Patent as herein described and any other applications for Letters Patent that may be made covering said Invention; (2) communicate any and all facts known to them respecting said Invention; (3) testify in any legal proceeding involving said Invention; (4) execute and acknowledge all lawful papers and legal instruments; (5) execute all divisional, continuing, reissue and reexamination applications; (6) make all rightful oaths; and (6) generally do any and every lawful act deemed necessary to aid and cooperate with the Assignee, its successors, legal representatives and assigns in perfecting and maintaining the entire interest conveyed herein and in obtaining and enforcing proper patent or industrial property protection for said Invention in any country, particularly in cases of opposition, interference and litigation;

AND THE ASSIGNORS HEREBY covenant and agree that the rights and obligations set forth in this instrument shall be binding upon, and inure to the benefit of, their heirs, legal representatives, successors and assigns;

AND THE ASSIGNORS HEREBY grant the Assignee, its successors, legal representatives and assigns, the power to insert on this instrument any further identification that may be necessary or desirable to comply with the recordation rules of any appropriate and competent authority, including, without limitation, the United States Patent and Trademark Office.

AND THE PARTIES HEREBY agree that this Assignment may be executed in two or more counterparts each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

IN TESTIMONY WHEREOF, the Assignors and Assignee hereunto sets their hands and seals the day and year set opposite their signatures.

SIGNATURES BEGIN ON THE NEXT PAGE

Date: 11/8/00	g Plola
	J. PETER KLEIN
WITNESSED BY:	f h
Date: 11/8/00	Jaurie Manning
Data	

Date: 11 07 00	MAN
Date	ANIL M. KUMAR
WITNESSED BY:	
Date:///7/00	Laurie Manning
Date:	

Date: (1/)/00 -	P, Caro
	BAOQING GONG
WITNESSED BY: Date: 1/7/00	Laure Manning
Date:	

BY ASSIGNEE: -	
Date:/1- 8-00	CELL THERAPEUTICS, INC. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119 U.S.A. BY: Louis A. Binnes
WITNESSED BY: Date: //- 9-00	Carl go Therese

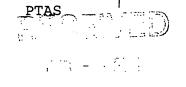


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ASSIGNOR:

GONG, BAOQING

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ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST SUITE 400

SEATTLE, WASHINGTON 98119

SERIAL NUMBER: 09544984

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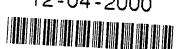
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	1535629 Original documents or copy thereto:
1. Name of Conveying Party(ies):	2. Name and address of receiving party(ies):
J. Peter KLEIN, Stephen J. KLAUS, Anil M. KUMAR, and	Name: CELL THERAPEUTICS, INC.
Baoqing GONG	
_	Address: 201 Elliott Avenue West
Additional name(s) of conveying party(ies) attached? Yes	Suite 400
3. Nature of Conveyance:	Seattle, Washington 98119
☐ Assignment ☐ Merger	
☐ Security Agreement ☐ Change of Name	
Other	
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Additional numb	ers attached? Yes
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved:
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Willem F. Gadiano, 37,136	November 14, 2000
Name and Registration No. of Person Signing	Signature Date
	Total number of pages comprising cover sheet:
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15/4/1/5/20 AIMAII 000005/9 5004/1/ 00044/04 /	

ASSIGNMENT OF PATENT RIGHTS

WHEREAS, J. Peter KLEIN, a citizen of the United States of America presently residing at 18822 Ridge Rd. S.W., Vashon, Washington 98070 (U.S.A.), Stephen J. KLAUS, a citizen of the United States of America presently residing at 372 Central Park West, #11XY, New York, New York 10025 (U.S.A.), Anil M. KUMAR, a citizen of India presently residing at 13701 113th Street Court E., Puyallup, Washington 98374 (U.S.A.), and Baoqing GONG, a citizen of China presently residing at 2324 N. 178th Street, Shoreline, Washington 98133 (U.S.A.) (hereinafter all referred to as "Assignors," "we," "they," or "them"), have invented a new and useful Invention entitled, "THERAPEUTIC COMPOUNDS FOR INHIBITING INTERLEUKIN-12 SIGNALING AND METHODS FOR USING SAME," for which a United States Patent Application was filed on April 7, 2000, having Application Serial No. 09/544,984; and

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Date: 11/8/00	gplla
	J. PETER KLEIN
WITNESSED BY:	\mathcal{J} h .
Date: 11/8/00	Jaurie Manning
/ /	

Date:

Date: 11 07 00	MA-il
	ANIL M. KUMAR
WITNESSED BY:	
Date:	Laurie Manning
Date:	

Date: U/)/00	1, C-9
	BAOQING GONG
WITNESSED BY:	\mathcal{L} , \mathcal{L}
Date:	Jaure hanning
Date:	

BY ASSIGNEE: -	
Date:	CELL THERAPEUTICS, INC. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119 U.S.A. BY: Kells A. Bianco
WITNESSED BY:	
Date: //- 9-00	Caul & Therese
Date:	



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FEBRUARY 02, 2001

MCDERMOTT, WILL & EMERY WILLEM F. GADIANO 600 13TH STREET, N.W. WASHINGTON, D.C. 20005

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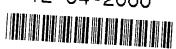
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12-04-2000



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Docket No.: 44033-093	Patent and Trademark Office
To the Honorable Commissioner of Patents 10	1535629 Original documents or copy thereto:
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City: Washington State: DC Zip: 20005	
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9. Statement and signature.	Constitution is two and conset and am attached compliant two comp
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of the original document.	1
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Willem F. Gadiano, 37,136	
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CMB No. 0851-0011 (exp. 4/94)	

ASSIGNMENT OF PATENT RIGHTS

WHEREAS, J. Peter KLEIN, a citizen of the United States of America presently residing at 18822 Ridge Rd. S.W., Vashon, Washington 98070 (U.S.A.), Stephen J. KLAUS, a citizen of the United States of America presently residing at 372 Central Park West, #11XY, New York, New York 10025 (U.S.A.), Anil M. KUMAR, a citizen of India presently residing at 13701 113th Street Court E., Puyallup, Washington 98374 (U.S.A.), and Baoqing GONG, a citizen of China presently residing at 2324 N. 178th Street, Shoreline, Washington 98133 (U.S.A.) (hereinafter all referred to as "Assignors," "we," "they," or "them"), have invented a new and useful Invention entitled, "THERAPEUTIC COMPOUNDS FOR INHIBITING INTERLEUKIN-12 SIGNALING AND METHODS FOR USING SAME," for which a United States Patent Application was filed on April 7, 2000, having Application Serial No. 09/544,984; and

WHEREAS, CELL THERAPEUTICS, INC., a corporation organized and existing under the laws of the State of Washington, having a place of business at 201 Elliott Avenue West, Suite 400, Seattle, Washington 98119 (U.S.A.) (hereinafter referred to as "Assignee"), is desirous of acquiring, for the United States of America and all countries foreign to the United States of America, the entire right, title and interest in, to and under (1) the Invention, (2) all patent applications that may be filed for the Invention and that may ultimately claim the benefit of priority from the filing date of the above-identified United States Application, and (3) any Letters Patent that may be granted for said Invention, as hereinafter more fully set forth:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, in consideration of the sum of One Dollar (\$1.00) to the Assignors in hand paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the Assignee, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the Invention, and all applications for Letters Patent that may hereafter be filed for said Invention in any country and all Letters Patent that may be granted for said Invention in any country (including, without limitation, all extensions, renewals, reissues, reexaminations and the like thereof and such other forms of protection of industrial property as may be provided by any country), and all rights of priority in any country that are based upon the filing date of the above-identified United States Application and that are created by any law, treaty or international convention; the above transferred right, title and interest to be held and enjoyed by said Assignee, its successors, legal representatives and assigns as fully and entirely as the same would have been held and enjoyed by the Assignors had this assignment not been made;

AND THE ASSIGNORS HEREBY authorize and request all appropriate and competent Officials in all countries, whose duty it is to issue or grant Letters Patents on applications as aforesaid, to issue or grant all Letters Patent for said Invention to the Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND THE ASSIGNORS HEREBY represent and covenant that they have the full right to convey the entire interest herein assigned and that they have not executed, and will not execute, any agreement in conflict herewith;

AND THE ASSIGNORS HEREBY further covenant and agree that, at the request and expense of the Assignee, its successors, legal representatives and assigns, but without demanding further consideration therefor, they will (1) assist in the prosecution of all applications for Letters Patent as herein described and any other applications for Letters Patent that may be made covering said Invention; (2) communicate any and all facts known to them respecting said Invention; (3) testify in any legal proceeding involving said Invention; (4) execute and acknowledge all lawful papers and legal instruments; (5) execute all divisional, continuing, reissue and reexamination applications; (6) make all rightful oaths; and (6) generally do any and every lawful act deemed necessary to aid and cooperate with the Assignee, its successors, legal representatives and assigns in perfecting and maintaining the entire interest conveyed herein and in obtaining and enforcing proper patent or industrial property protection for said Invention in any country, particularly in cases of opposition, interference and litigation;

AND THE ASSIGNORS HEREBY covenant and agree that the rights and obligations set forth in this instrument shall be binding upon, and inure to the benefit of, their heirs, legal representatives, successors and assigns;

AND THE ASSIGNORS HEREBY grant the Assignee, its successors, legal representatives and assigns, the power to insert on this instrument any further identification that may be necessary or desirable to comply with the recordation rules of any appropriate and competent authority, including, without limitation, the United States Patent and Trademark Office.

AND THE PARTIES HEREBY agree that this Assignment may be executed in two or more counterparts each of which shall be deemed an original but all of which together shall constitute one and the same instrument.

IN TESTIMONY WHEREOF, the Assignors and Assignee hereunto sets their hands and seals the day and year set opposite their signatures.

SIGNATURES BEGIN ON THE NEXT PAGE

 Date: 10/27/00 STEPHEN J. KLAUS

WITNESSED BY:

Date: ______

rney Docket No.: 44033-093

Date: ____

Date: 11 07 00	MA-I
Date:	ANIL M. KUMAR
WITNESSED BY:	
Date:	Laurie Manning
Date:	

Date: U//oo	1, Can
	BAOQING GONG
WITNESSED BY:	\mathcal{L} \mathcal{L}
Date: 1/7/00	Jaure Manning
Date:	O,

BY ASSIGNEE:	
Date: /1-8-00	CELL THERAPEUTICS, INC. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119 U.S.A. BY: (kus) (PRINTED: Louis A. Bianco
WITNESSED BY:	
Date: _//- 9-00	Carl & Thereel
Dota	-



UNITED STATES DEPARTMENT OF COMMERCE

DOC DATE: 03/24/94

TECH CENTER 1600/2900

ASSISTANT SECRETARY AND COMMISSIONE

OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

DATE: 06/03/94

TO:

N04C

CELL THERAPEUTICS, INC. STEPHEN FACISZEWSKI LEGAL AFFAIRS DEPARTMENT 201 ELLIOTT AVENUE WEST, STE. 400 SEATTLE, WA 98119

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR OUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:

KLEIN, J. PETER

DOC DATE: 03/24/94 ASSIGNOR:

UNDERINER, GAIL E.

DOC DATE: 03/24/94 ASSIGNOR:

RICE, GLENN C.

DOC DATE: 03/24/94 ASSIGNOR:

KUMAR, ANIL M.

DOC DATE: 03/24/94 ASSIGNOR:

LEUNG, DAVID W.

DOC DATE: 03/24/94 ASSIGNOR:

RIDGERS, LANCE H.

REEL/FRAME 6976/0224 NUMBER OF PAGES 005 RECORDATION DATE: 03/24/94

DIGEST: ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST, STE. 400 SEATTLE, WASHINGTON 98119

6976/0224 PAGE 0002

SERIAL NUMBER

8-217051

FILING DATE 03/24/94

PATENT NUMBER

ISSUE DATE

00/00/00

EXAMINER/PARALEGAL ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION

217051

	N FORM COVER SHEET TENTS ONLY
Commissioner of Patents and Trademarks	U.S. DEPARTMENT OF COMM Patent and Trademark Off
Washington, D.C. 20231	Attorney Docket No. 04
To the Honorable Commissioner of Patents and Trace Please record the attached original documents or	copy thereof.
1	N.
Please record the attached original documents or 1. A. Name of conveying party(ies):	copy thereof.
Please record the attached original documents or 1. A. Name of conveying party(ies): J. Peter Klein	2. A. Name and address of receiving
Please record the attached original documents or 1. A. Name of conveying party(ies): J. Peter Klein Gail E. Underiner	copy thereof. (2. A. Name and address of receiving CELL THERAPEUTICS, INC.
Please record the attached original documents or 1. A. Name of conveying party(ies): J. Peter Klein Gail E. Underiner Glenn C. Rice	copy thereof. (2. A. Name and address of receiving CELL THERAPEUTICS, INC. 201 Elliott Avenue West, Suite 400
Please record the attached original documents or 1. A. Name of conveying party(ies): J. Peter Klein Gail E. Underiner Glenn C. Rice Anil M. Kumar	copy thereof. (2. A. Name and address of receiving CELL THERAPEUTICS, INC. 201 Elliott Avenue West, Suite 400
Please record the attached original documents or 1. A. Name of conveying party(ies): J. Peter Klein Gail E. Underiner Glenn C. Rice Anil M. Kumar David W. Leung	CELL THERAPEUTICS, INC. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119

party(ies): X Assignment Security Agreement Change of Name _Other_ B Execution Date: March 24, 1994 4. A. If this document is being filed together with a new application, the execution date of the application is: March 24, 1994. C. Patent No.(s) B. Patent Application No.(s) D. Additional numbers attached? Yes X No 6_ Total number of applications 5. Name and address of party to whom correspondence and patents involved: one (1). concerning document should be mailed: Name: Legal Affairs Department Total fee (37 CFR 3.41)......\$40.00 Charge the above fee and credit any CELL THERAPEUTICS, INC. Address: overpayment or charge any underpayment to 201 Elliott Avenue West, Suit 400 deposit account number: Seattle, Washington 98119 03-1182 Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true dop of the briginal document. Date: March 24, 1994 93443468 Stephen Faciszewski Registration No. 36,131 Total number of pages including cover sheet, attachments, and document: _5

2/94

ASSIGNMENT

WHEREAS, We J. Peter Klein, Gail E. Underiner, Glenn C. Rice, Anil M. Kumar, David W. Leung and Lance H. Ridgers, residing at 18822 Ridge Road S.W., Vashon, Washington 98070, USA, 3160 243rd Street S.W., Brier, Washington 98036, USA, 8705 Ridgefield Road N.W., Seattle, Washington 98177, USA, 5035 15th Avenue N.E., #201, Seattle, Washington 98105, USA, 7625 East Mercer Way, Mercer Island, Washington 98040, USA and 16720 North Road, #B208, Bothell, Washington 98012, USA, respectively, are the inventors named in a Letters Patent of the United States entitled "AMINE SUBSTITUTED COMPOUNDS", said application filed herewith on March 24, 1994;

AND, WHEREAS, CELL THERAPEUTICS, INC., a corporation of the State of Washington, having a principal place of business at 201 Elliott Avenue West, Suite 400, Seattle, Washington 98119, USA (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

Executed at Seattle, Washington, this 24" day of 11 land 1, 1994.	်ာ
Siete Can	75
J. Peter Klein	
STATE OF WASHINGTON)	
COUNTY OF KING)	?
On this day of March, 1994, personally appeared before me J. Peter Klein, to me known the individual named above who executed the within and foregoing instrument, and acknowledged signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned	that he
- In All	
Notary Public in and for the State of Washington, residing at 9541 76 the Swy South will 9	5106
My commission expires <u>Televor</u> 3, 1997	

Executed at Seattle, Washington, this day of, 1994.	
Mail & Undersines	
Gail E. Underiner	.*
STATE OF WASHINGTON)) ss. COUNTY OF KING)	,
On this day of March, 1994, personally appeared before me Gail E. Underiner, to be the individual named above who executed the within and foregoing instrument, and ackr she signed the same as her free and voluntary act and deed, for the uses and purposes therei	nowledged that n mentioned.
Notary Public in and for the State of Washington, residing at 1844 26 49 Ar. S.w. A.	11 10 7810C
My commission expires <u>Tehrund 3</u> 1447	· · ·
Executed at Seattle, Washington on this day of, 1994. Glenn C. Rice	REL 5976 FR
STATE OF WASHINGTON) COUNTY OF KING) ss.	FAME 226
On this day of March, 1994, personally appeared before me Glenn C. Ricknown to be the individual named above who executed the within and foregoing instrument acknowledged that he signed the same as his free and voluntary act and deed, for the uses at therein mentioned.	t, and
Notary Public in and for the State of Washington, residing at 9844 26 4h Ove. 5 w.	BATHEUN 98 NG
My commission expires <u>Februar</u> 3, 1997	

Executed at Seattle, Washington on this 24 day of 1994.
Experience of the second secon
Anil M. Kumar
Tilli IVI. Ikuliu
· · · · · · · · · · · · · · · · · · ·
STATE OF WASHINGTON)
COUNTY OF KING) ss.
On this 24 day of March, 1994, personally appeared before me Anil M. Kumar, to me
known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes
therein mentioned.
- Comment of the state of the s
Notary Public in and for the State of Washington, residing at 984426 th Ave. S.w., Souther and region &
My commission expires February 3 1997
My commission expues
Executed at Seattle, Washington on this 24 th day of Mach, 1994.
Executed at Scattle, Washington on this 29 and of 1774 177
Transfer Very
David W. Leung
STATE OF WASHINGTON)
)
COUNTY OF KING) ss.
On this 24 day of March, 1994, personally appeared before me David W. Leung, to me
known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes
therein mentioned.
Notary Public in and for the State of Washington, residing at 9844 264 Ave S.w. Swith at 48100 My commission expires Februsy 3, 1997
My commission expires <u>Februs</u> 3, 1997

Executed at Seattle, Washington on this day of
This to the the time to the second of the se
Lance H. Ridgers
TATE OF WASHINGTON)
) ss. COUNTY OF KING)
COUNTY OF KING)
On this day of March, 1994, personally appeared before me Lance H. Ridgers, to me known to the individual named above who executed the within and foregoing instrument, and acknowledged that it is signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.
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Notary Public in and for the State of Washington, residing at 154426 th Auc. S.W. Sale WH 95106
My commission expires

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FAIRE & TRACE MARK OFFICE

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Page 5 of 5



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

DATE: 06/03/94

TO:

N04C

CELL THERAPEUTICS, INC. STEPHEN FACISZEWSKI LEGAL AFFAIRS DEPARTMENT 201 ELLIOTT AVENUE WEST, STE. 400 SEATTLE, WA 98119

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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ASSIGNOR: DOC DATE: 03/24/94

KLEIN, J. PETER

ASSIGNOR: DOC DATE: 03/24/94

UNDERINER, GAIL E.

ASSIGNOR: DOC DATE: 03/24/94

RICE, GLENN C.

ASSIGNOR: DOC DATE: 03/24/94

KUMAR, ANIL M.

ASSIGNOR: DOC DATE: 03/24/94

LEUNG, DAVID W.

ASSIGNOR: DOC DATE: 03/24/94

RIDGERS, LANCE H.

RECORDATION DATE: 03/24/94 NUMBER OF PAGES 005 REEL/FRAME 6976/0224

DIGEST: ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST, STE. 400 SEATTLE, WASHINGTON 98119 6976/0224 PAGE 0002

SERIAL NUMBER

8-217051

03/24/94 00/00/00 FILING DATE

PATENT NUMBER

ISSUE DATE

EXAMINER/PARALEGAL

ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION

14, 217051

RECORDATION FORM COVER SHEET PATENTS ONLY

2/94

Commissioner of Patents and Trademarks	U.S. DEPARTMENT OF COMPERCE		
Ben 18 gnments	Patent and Trademark Office		
Washington, D.C. 20231	Patent and Trademark Office Attorney Docket Negative Attorney Docket Negative Marks:		
To the Honorable Commissioner of Patents and Tradema	rks:		
Please record the attached original documents or copy	thereof.		
. A. Name of conveying party(ies):	(2. A. Name and address of receiving party(ies):		
J. Peter Klein			
Gail E. Underiner	CELL THERAPEUTICS, INC.		
Glenn C. Rice	201 Elliott Avenue West, Suite 400		
Anil M. Kumar	Seattle, Washington 98119		
David W. Leung			
Lance H. Ridgers			
B. Additional conveying party(ies) name(s) attached?			
Yes X.No	-		
2// 2	B. Additional name(s) & address(es) attached?		
3/ A. Nature of conveyance:	B. Additional name(s) & address(es) attached? YesXNo		
X Assignment Merger	_103 <u>V</u> 140		
Sequents Agreement Change of Name			
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B Execution Date: March 24, 1994			
4. A. If this document is being filed together with a new	w application, the execution date of the application is:		
March 24, 1994.			
Sand and the sand a			
B. Patent Application No.(s)	C. Patent No.(s)		
D. Additional numbers	attached? _Yes XNo		
5. Name and address of party to whom correspondence	6 Total number of applications		
concerning document should be mailed:	and patents involved: one (1).		
Name: Legal Affairs Department	7/ Total fee (37 CFR 3.41)\$40.00		
	77 Total fee (37 CFR 3.41)340.00		
CELL THER A DELITION INC	8. Charge the above fee and credit any		
Address: CELL THERAPEUTICS, INC.	8. Charge the above fee and credit any overpayment or charge any underpayment to		
201 Elliott Avenue West, Suit 400	deposit account number:		
Seattle, Washington 98119	03-1182.		
	05 T102.		
9. Statement and signature.			
To the best of my knowledge and belief, the foregoing	g information is true and correct and any attached copy		
is a true copy of the original document.			
	. Date: March 24, 1994		
Siften Jaiszewski	93443468		
Stephen Faciszewski	/344340%		
Registration No. 36,131			
Total number of pag	ges including cover sheet, attachments, and document: _5		
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	01 000 001 Mo.000n		

ASSIGNMENT

WHEREAS, We J. Peter Klein, Gail E. Underiner, Glenn C. Rice, Anil M. Kumar, David W. Leung and Lance H. Ridgers, residing at 18822 Ridge Road S.W., Vashon, Washington 98070, USA, 3160 243rd Street S.W., Brier, Washington 98036, USA, 8705 Ridgefield Road N.W., Seattle, Washington 98177, USA, 5035 15th Avenue N.E., #201, Seattle, Washington 98105, USA, 7625 East Mercer Way, Mercer Island, Washington 98040, USA and 16720 North Road, #B208, Bothell, Washington 98012, USA, respectively, are the inventors named in a Letters Patent of the United States entitled "AMINE SUBSTITUTED COMPOUNDS", said application filed herewith on March 24, 1994;

AND, WHEREAS, CELL THERAPEUTICS, INC., a corporation of the State of Washington, having a principal place of business at 201 Elliott Avenue West, Suite 400, Seattle, Washington 98119, USA (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

Executed at Seattle, Washington, this <u>24''</u> day of <u>Monoti</u> , 1994.	<u> </u>
Sive Ca-	
J. Peter Klein	
STATE OF WASHINGTON)	- ² / ₂ / ₂ / ₂ / ₂
COUNTY OF KING)	ئ
On this day of March, 1994, personally appeared before me J. Peter Klein, to me known the individual named above who executed the within and foregoing instrument, and acknowledge signed the same as his free and voluntary act and deed, for the uses and purposes therein mention	d that he
Leven A The	
Notary Public in and for the State of Washington, residing at 9841 76 - Him Swy Andre will	95/16
My commission expires <u>ichroad</u> 3, 1947	

	-
Executed at Seattle, Washington, this, day of, 1994.	•
Man & Undering	
Gail E. Underiner	•
STATE OF WASHINGTON)	•
) ss. COUNTY OF KING)	
On this day of March, 1994, personally appeared before me Gail E. Underiner, the individual named above who executed the within and foregoing instrument, and ack she signed the same as her free and voluntary act and deed, for the uses and purposes there	nowledged that in mentioned.
Notary Public in and for the State of Washington, residing at 1844 26 40 Art State M.	THE KN TOLOGE
My commission expires <u>Telyout</u> 3 1997	<u> </u>
Executed at Seattle, Washington on this 24 May of March, 1994. Glenn C. Rice	REES 978 R
STATE OF WASHINGTON) COUNTY OF KING) ss.	RME226
On this day of March, 1994, personally appeared before me Glenn C. Riknown to be the individual named above who executed the within and foregoing instrumer acknowledged that he signed the same as his free and voluntary act and deed, for the uses therein mentioned.	nt, and and purposes
Notary Public in and for the State of Washington, residing at 9844 26 4 Dr. 5 w. A	Contigues 78 Dle
My commission expires <u>rebroad</u> 3 1997	

Executed at Seattle, Wa	shington on this	240.	_ day of Much	⁷ , 1994.	
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A 11 M K		-			
Anil M. Kumar					
STATE OF WASHING	TON)				
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COUNTY OF KING) ss.				
. //					
On this $24^{1/2}$	day of March,	1994, perso	onally appeared before	re me Anil M. Ku	mar, to me
known to be the individ	ual named above	who execu	ted the within and fo	oregoing instrume	nt, and
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Notary Public in and for	My commi	ssion expir	es February	3 1997	
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		a. Wh		1004	TR.
Executed at Seattle, Wa	1		_ day of <u>///a/e//</u>	, 1994.	
	<u> </u>				.\3
David W. Leung	· · · · · · · · · · · · · · · · · · ·	•			, ,
David W. Dodiig	•			-	
STATE OF WASHING	TON)				
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COUNTY OF KING) ss.				
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Notary Public in and for	r the State of Was	shington, re	esiding at <u>4844 2</u>	67 Avr. S.W. A	19114 at 48,00
•			es <u>Febru</u> M	1 2 000	
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Executed at Seattle, Washington on this _	-74 to day of March , 1994.
The Market Sail	·
Lance H. Ridgers	
STATE OF WASHINGTON)	
COUNTY OF KING) ss.	
COUNTY OF KING)	
be the individual named above who execu he signed the same as his free and volunta	rsonally appeared before me Lance H. Ridgers, to me known to ted the within and foregoing instrument, and acknowledged tha ry act and deed, for the uses and purposes therein mentioned.
- Comment	
Notary Public in and for the State of Wash	nington, residing at 184426 th Aur. S. w. South Mill 95166
My commiss	sion expires <u>1ebrual 3, 1997</u>

""" 70 FM/12/25

//// RECORDED
AMENIA DEAETHARK OFFICE
THAR 21, 91,



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

DATE: 06/03/94

TO:

N04C

CELL THERAPEUTICS, INC. STEPHEN FACISZEWSKI LEGAL AFFAIRS DEPARTMENT 201 ELLIOTT AVENUE WEST, STE. 400 SEATTLE, WA 98119

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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ASSIGNOR: DOC DATE: 03/24/94

KLEIN, J. PETER

ASSIGNOR: DOC DATE: 03/24/94

UNDERINER, GAIL E.

ASSIGNOR: DOC DATE: 03/24/94

RICE, GLENN C.

ASSIGNOR: DOC DATE: 03/24/94

KUMAR, ANIL M.

ASSIGNOR: DOC DATE: 03/24/94

LEUNG, DAVID W.

ASSIGNOR: DOC DATE: 03/24/94

RIDGERS, LANCE H.

RECORDATION DATE: 03/24/94 NUMBER OF PAGES 005 REEL/FRAME 6976/0224

DIGEST: ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST, STE. 400

SEATTLE, WASHINGTON 98119

6976/0224 PAGE 0002

SERIAL NUMBER

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FILING DATE

PATENT NUMBER

03/24/94 00/00/00 ISSUE DATE

EXAMINER/PARALEGAL

ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION

16, 217051



mimic of Patents and Trademarks

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Washington, D.C. 20231	Attorney Docket No. <u>0402</u>
To the Honorable Commissioner of Patents and Trademark	SS:
Please record the attached original documents or copy t	hereof.
A. Name of conveying party(ies): J. Peter Klein	2. A. Name and address of receiving party(ies):
Gail E. Underiner	CELL THERAPEUTICS, INC.
Glenn C. Rice	201 Elliott Avenue West, Suite 400
Anil M. Kumar	Seattle, Washington 98119
David W. Leung	
Lance H. Ridgers	
B. Additional conveying party(ies) name(s) attached? Yes X.No	
2//4 Natura of annuary 1 : []	B. Additional name(s) & address(es) attached?
3. A. Nature of conveyance: X Assignment Merger	Yes XNo
▼ Vasignment - Mericol	
Security Agreement Change of Name	
_ Security regreement _ smaller or reason	
Other	
	<u> </u>
B\Execution Date: March 24, 1994	
4. A. If this document is being filed together with a new March 24, 1994.	application, the execution date of the application is:
B. Patent Application No.(s)	C. Patent No.(s)
D. Additional numbers a	ttached? _Yes X_No
5. Name and address of party to whom correspondence	6. Total number of applications
concerning document should be mailed:	and patents involved: one (1).
Name: Legal Affairs Department	,1
	7.7 Total fee (37 CFR 3.41)\$40.00
Address: CELL THERAPEUTICS, INC.	8. Charge the above fee and credit any
201 Elliott Avenue Weşt, Suit 400	overpayment or charge any underpayment to
Seattle, Washington 98119	deposit account number:
	03-1182.
9. Statement and signature. To the best of my knowledge and belief, the foregoing	information is true and correct and any attached copy
is a true copy of the briginal document.	
Septen Janiszewski	Date: March 24, 1994
	93443468
Stephen Faciszewski	
Registration No. 36,131 . Total number of page	es including cover sheet, attachments, and document: _5
77.00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	_
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2/94	· www ends and and an analysis

ASSIGNMENT

WHEREAS, We J. Peter Klein, Gail E. Underiner, Glenn C. Rice, Anil M. Kumar, David W. Leung and Lance H. Ridgers, residing at 18822 Ridge Road S.W., Vashon, Washington 98070, USA, 3160 243rd Street S.W., Brier, Washington 98036, USA, 8705 Ridgefield Road N.W., Seattle, Washington 98177, USA, 5035 15th Avenue N.E., #201, Seattle, Washington 98105, USA, 7625 East Mercer Way, Mercer Island, Washington 98040, USA and 16720 North Road, #B208, Bothell, Washington 98012, USA, respectively, are the inventors named in a Letters Patent of the United States entitled "AMINE SUBSTITUTED COMPOUNDS", said application filed herewith on March 24, 1994;

AND, WHEREAS, CELL THERAPEUTICS, INC., a corporation of the State of Washington, having a principal place of business at 201 Elliott Avenue West, Suite 400, Seattle, Washington 98119, USA (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

Executed at Seattle, Washington, this 24 day of 1/2001, 1994.	<u>''ن</u> م
- Clark Clar-	-
J. Peter Klein	
STATE OF WASHINGTON)	•
) ss.	, `
COUNTY OF KING)	
On this day of March, 1994, personally appeared before me J. Peter Klein, to me know the individual named above who executed the within and foregoing instrument, and acknowledged signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned	that he
Levench The	
Notary Public in and for the State of Washington, residing at 9541 762 Ave Say Anthony will see	25/0G
My commission expires <u>ichout</u> 3, 1947	

Executed at Seattle, Washington, this day of, 1994.
Mail E. Maximus
Gail E. Underiner
STATE OF WASHINGTON)) ss.
COUNTY OF KING)
On this day of March, 1994, personally appeared before me Gail E. Underiner, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that she signed the same as her free and voluntary act and deed, for the uses and purposes therein mentioned.
Notary Public in and for the State of Washington, residing at 1844 3640 Marshall And Andrewson
My commission expires
Executed at Seattle, Washington on this day of, 1994.
The state of the s
Glenn C. Rice
STATE OF WASHINGTON)
COUNTY OF KING) ss.
On this day of March, 1994, personally appeared before me Glenn C. Rice, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.
Notary Public in and for the State of Washington, residing at 9844 26 40 Ave. 5 w. Swellest 78104
My commission expires February 3 1947

Executed at Seattle, Washington on this	de d	ay of <u> </u>	<u>ر در ر</u>	1994.	
$\frac{1}{L} \frac{N_{\rm eff} M_{\rm eff}}{N_{\rm eff}}$	•	,			
Anil M. Kumar					
STATE OF WASHINGTON)		٠.			
COUNTY OF KING) ss.					
On this 24 day of March, 1994 known to be the individual named above who acknowledged that he signed the same as his therein mentioned.	executed free and v	the within ar coluntary act a	nd foregoi and deed,	ng instrument for the uses a	t, and nd purposes
Notary Public in and for the State of Washing	gton, resid	_ ing at <u> 4841 2</u>	6 7th AU	2 5. W. Sr. M	1+10 9810 P
Notary Public in and for the State of Washing My commission	n expires ₋	Februar	7.3,	1997	
Executed at Seattle, Washington on this	/ <u> </u>	ay of <u>Maco</u>	<u>6</u> ,	1994.	5 FAR 227
David W. Leung					-
STATE OF WASHINGTON)				•	
COUNTY OF KING) ss.					
On this day of March, 1994 known to be the individual named above who acknowledged that he signed the same as his therein mentioned. Notary Public in and for the State of Washing	executed free and v	the within ar oluntary act a	nd foregoi and deed,	ng instrument for the uses a	, and nd purposes
Notary Public in and for the State of Washing	gton, resid	ing at <u>4844</u>	269	Ave. S.iv. , S.	the att 4800
My commission	n expires _	Tebru.	M 3,	1997	

Executed at Seattle, Washington on this day of
The Market Commencer of the Commencer of
Lance H. Ridgers
STATE OF WASHINGTON)
) ss. COUNTY OF KING)
On this day of March, 1994, personally appeared before me Lance H. Ridgers, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.
the state of the s
Notary Public in and for the State of Washington, residing at 184426 to pure Sure Sure And 95/10/2
My commission expires <u>Jebrual</u> 3, 1997

AMETI & PART HARK OF R

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JANUARY 24, 1996

WILLIAN BRINKS HOFER GILSON & L WILHLEM F. GADIANO 2000 K ST., NW SUITE 200 WASHINGTON, DC 20006-1809



FEB 02 1996 WILLIAM BHINKS HOFER GILSON & LIONE WASHINGTON, D.C.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/18/1995

REEL/FRAME: 7620/0872

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KLEIN, J. PETER

DOC DATE: 06/06/1995

ASSIGNOR:

LEIGH, ALISTAIR J.

DOC DATE: 06/05/1995

ASSIGNOR:

UNDERINER, GAIL E.

DOC DATE: 06/22/1995

ASSIGNOR:

KUMAR, ANIL M.

DOC DATE: 06/22/1995

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST SUITE 400

SEATTLE, WASHINGTON 98119

SERIAL NUMBER: 08483871

PATENT NUMBER:

FILING DATE: 06/07/1995

ISSUE DATE:



PEARLENE FOSTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

2/94



100065027

ssistant Commissioner for Patents	U.S. DEPARTMENT OF COMMERCE	
Box Assignment	Patent and Trademark Office	
Washington, D.C. 20231	Attorney Docket No. 7605-23	
To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or con	py thereof.	
1. A. Name of conveying party(ies):	2. A. Name and address of receiving party(ies):	
J. Peter Klein, Alistair J. Leigh, Gail E. Underiner, Anil M. Kumar B. Additional conveying party(ies) name(s) attached?	Cell Therapeutics, Inc. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119	
Yes X No		
3. A. Nature of conveyance: X Assignment Merger	B. Additional name(s) & address(es) attached? Yes _X_No	
_ Security Agreement _ Change of Name	324	
_ Other	65705 U.B. PTO	
B. Execution Date:	09/22/95	
4. A. If this document is being filed together with a n	new application, the execution date of the application is:	
B. Patent Application No.(s)	C. Patent No.(s)	
08/483,871 D. Additional numbers a	I attached?Yes _X_No	
5. Name and address of party to whom	6. Total number of applications	
correspondence concerning document should be mailed:	and patents involved:1	
	7. Total fee (37 CFR 3.41)\$\(\frac{40.00}{}\)	
Name: Wilhlem F. Gadiano	Enclosed (Check No. 0229/	
Address: Willian Brinks Hofer Gilson & Lione 2000 K Street, N.W., Suite 200 Washington, DC 20006-1809	8. Credit any overpayment or charge any underpayment to deposit account number: 23-1920	
Washington, DC 20000-1609	23-1720	
9. Statement and signature. To the best of my knowledge and belief, the foregoing copy is a true copy of the original document.	ng information is true and correct and any attached	
Willent. Bale	Date: 8/18/95	
Wilhlem F. Gadiano Registration No. 37,136		
Total number of pages in	acluding cover sheet, attachments, and document: 2	

Docket No.: 1501A

ASSIGNMENT

WHEREAS, We J. Peter Klein, Alistair J. Leigh, Gail E. Underiner, and Anil M. Kumar, residing at 18822 Ridge Road S.W., Vashon, Washington 98070; 3504 221st Place S.W., Brier, Washington 98036; 3160 243rd Street S.W., Brier, Washington 98036; 13407 Greenwood Avenue N., #211, Seattle, Washington 98133, respectively, are the inventors named in a United States Patent Application entitled "THERAPEUTIC COMPOUNDS", filed June 7, 1995;

AND, WHEREAS, CELL THERAPEUTICS, INC., a corporation of the State of Washington, having a principal place of business at 201 Elliott Avenue West, Suite 400, Seattle, Washington 98119, USA (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in said application;

Executed at Seattle, Washington, this $\frac{\int \frac{t \cdot L}{L}}{L}$ day of <u>June</u> , 1995.
J. Peter Klein
J. Peter Klein
Executed at Seattle, Washington, this day of June, 1995.
Alistair J. Leigh
Alistair J. Leigh
Executed at Seattle, Washington, this 22 day of June, 1995.
Doil E. Urdenne
Gail E. Underiner
Executed at Seattle, Washington, this day of <u>June</u> , 1995.
m froz
Anil M. Kumar



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JANUARY 24, 1996

WILLIAN BRINKS HOFER GILSON & WILHLEM F. GADIANO 2000 K ST., NW SUITE 200 WASHINGTON, DC 20006-1809

FEB 02 1996 WILLIAM BHINKS HOFER GILSON & LIONE WASHINGTON, D.C.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 08/18/1995

REEL/FRAME: 7620/0872

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KLEIN, J. PETER

DOC DATE: 06/06/1995

ASSIGNOR:

LEIGH, ALISTAIR J.

DOC DATE: 06/05/1995

ASSIGNOR:

UNDERINER, GAIL E.

DOC DATE: 06/22/1995

ASSIGNOR:

KUMAR, ANIL M.

DOC DATE: 06/22/1995

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST SUITE 400

SEATTLE, WASHINGTON 98119

SERIAL NUMBER: 08483871

PATENT NUMBER:

FILING DATE: 06/07/1995

ISSUE DATE:



PEARLENE FOSTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS





100065027

Assistant Commissioner for Patents
Box Assignment
Washington, D.C. 20231

US. DEPARTMENT OF COMMERCE Patent and Trademark Office

	Attorney Docket No. <u>7605-23</u>
To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or co	py thereof.
1. A. Name of conveying party(ies):	2. A. Name and address of receiving party(ies):
J. Peter Klein, Alistair J. Leigh, Gail E. Underiner, Anil M. Kumar B. Additional conveying party(ies) name(s) attached? Yes X No	Cell Therapeutics, Inc. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119
3. A. Nature of conveyance:	
X Assignment Merger	B. Additional name(s) & address(es) attached?Yes _X_No
_ Security Agreement _ Change of Name	
_ Other	45705 J.A. PTO Lindui Miid idid idid idid idid idid idid
B. Execution Date:	
B. Execution Date.	09/22/95
4. A. If this document is being filed together with a n	new application, the execution date of the application is:
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	7. Total fee (37 CFR 3.41)\$\(\frac{40.00}{}\)
Name: Wilhlem F. Gadiano	Enclosed (Check No. 0229/)
Address: Willian Brinks Hofer Gilson & Lione	8. Credit any overpayment or charge any
2000 K Street, N.W., Suite 200 Washington, DC 20006-1809	underpayment to deposit account number: 23-1920
9. Statement and signature.	
	ng information is true and correct and any attached
Wilhlem F. Gadiano	Date: 8/18/95
Registration No. 37,136 Total number of pages in	ncluding cover sheet, attachments, and document: 2

2/94

Docket No.: 1501A

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Executed at Seattle, Washington, this $\frac{\cancel{b}}{\cancel{b}}$ day of June, 1995.
J. Peter Klein
J. Peter Klein
Executed at Seattle, Washington, this day of <u>June</u> , 1995.
Alistair J. Leigh
Alistair J. Leigh
Executed at Seattle, Washington, this 22 day of June, 1995.
Dail E. Urderine
Gail E. Underiner
Executed at Seattle, Washington, this day of June, 1995.
m frot
Anil M. Kumar



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JANUARY 24, 1996

WILLIAN BRINKS HOFER GILSON & L WILHLEM F. GADIANO 2000 K ST., NW SUITE 200 WASHINGTON, DC 20006-1809



FEB 02 1996 WILLIAM BHINKS HOFER GILSON & LIONE WASHINGTON, D.C.

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RECORDATION DATE: 08/18/1995

REEL/FRAME: 7620/0872

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

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UNDERINER, GAIL E.

DOC DATE: 06/22/1995

ASSIGNOR:

KUMAR, ANIL M.

DOC DATE: 06/22/1995

ASSIGNEE:

CELL THERAPEUTICS, INC. 201 ELLIOTT AVENUE WEST SUITE 400

SEATTLE, WASHINGTON 98119

SERIAL NUMBER: 08483871

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Assistant Commissioner for Patents	U.S. DEPARTMENT OF COMMERCE	
Box Assignment Washington, D.C. 20231	Patent and Trademark Office	
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1. A. Name of conveying party(ies):	2. A. Name and address of receiving party(ies):	
J. Peter Klein, Alistair J. Leigh, Gail E. Underiner, Anil M. Kumar	Cell Therapeutics, Inc. 201 Elliott Avenue West, Suite 400 Seattle, Washington 98119	
B. Additional conveying party(ies) name(s) attached? Yes _X_No		
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Name: Wilhlem F. Gadiano	Enclosed (Check No0229/)	
Address: Willian Brinks Hofer Gilson & Lione 2000 K Street, N.W., Suite 200 Washington, DC 20006-1809	Credit any overpayment or charge any underpayment to deposit account number: 23-1920	
9. Statement and signature. To the best of my knowledge and belief, the foregoing copy is a true copy of the original document.	ng information is true and correct and any attached	
Min Ala 7 Malia	Date: 8/18/95	
Wilhlem F. Gadiano	e de la comitación de la desta de la comitación de la co	
Registration No. 37,136 Total number of pages in	cluding cover sheet, attachments, and document: 2	

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Docket No.: 1501A

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Executed at Seattle, Washington, this day of <u>June</u> , 1995.
J. Peter Klein
J. Peter Klein
Executed at Seattle, Washington, this day of June, 1995.
Alistair J. Leigh
Alistair J. Leigh
Executed at Seattle, Washington, this 22 day of June, 1995.
Dail E. Urderine
Gail E. Underiner
Executed at Seattle, Washington, this day of June, 1995.
Mfrz
Anil M. Kumar